

# PUREBRED SPANISH HORSES CONFORMATION-FUNCTIONAL JUDGING

# **RULES & REGULATIONS**

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# PRELIMINARY TITLE General Disposition

**Article 1.** These Rules and Regulations seek to standardize all organizational and functional procedures to be followed when holding Conformation Competitions for Purebred Spanish Stallions and Mares.

The Judging Rules and Regulations, approved by the Executive Committee, are reviewed annually by the Competition Commission who, in all cases is responsible for any and all interpretations of these mandates, as might be the case, when applying these Rules and Regulations.

**Article 2.** These current Rules and Regulations are binding and mandatory for application by Judges and the overseeing authority, which shall also comply with the criteria for Breed Quality, the guidelines in the Judging Manual and, as set out in the current Conformation Competitions Rules and Regulations for Purebred Spanish Stallions and Mares.

# TITLE I TECHNICAL COMMITTEE OF JUDGES

**Article 3.** Prior to the thirty-first of December of each year, the ANCCE Executive Committee, with the ratification of the Board of Directors, shall appoint the members of the Technical Committee of Judges. This Committee shall include three (3) members from among which one (1) being the President and another being the Secretary.

Article 4. Members of the Technical Committee of Judges shall comply with the following aspects:

- a) Judges are committed to not judging while being a member of the Technical Committee of Judges nor during the rest of a given season once they cease in their functions; however, judges shall retain their condition as an active judge while performing their duties.
- b) Judges shall not belong to any of the ANCCE executive bodies.
- c) Judges may not participate in Competitions, whether as a breeder, veterinarian, presenter, groom, rider, trainer, consultant or member of the Organizing Committee.

**Article 5.** The term as Judge shall be one (1) calendar year, running from January 1st (1/1) until December 31st (31/12).

Members of the Technical Committee of Judges may be removed from their post prior to the end of their term when:

- a) A member fails to attend three (3) or more meetings of the Technical Committee of Judges without justified cause.
- b) Upon agreement of the Executive Committee.
- c) Failure to comply with the mandates foreseen in Article 4.
- d) Due to death or incapacitating illness.
- e) Due to voluntary resignation.

In the event of termination of one or all members, the ANCCE Executive Committee shall proceed to designate substitute/s for the rest of the term, until the end of the year in course.

**Article 6.** The Technical Committee of Judges shall have the following responsibilities:

- a) Issue the Annual List of Judges and send it to the Competition Commission with the proposed judges for each group.
- b) Propose the implementation of certifications, training and courses regarding consensus as deemed appropriate in coordination with the Competition and Training Commission.
- c) Assess and monitor all judging activities while the judges carry out their responsibilities.
- d) Initiate and sentence disciplinary actions.
- e) Assess conflicts of interests presented annually by the judges.
- f) Propose judges to judge the World PRE Championship Final and present justify said proposal in writing before the Competition Commission.
- g) Settle all claims, complaints and incidents presented against judges.
- h) Present reports to the Competition Commission regarding possible changes in the Rules and Regulations and the Competition Judging Manual.

**Article 7.** On a regular basis, the Technical Committee of Judges shall inform the Competition Commission about their proceedings and present, after the conclusion of SICAB, a report evaluating the performance of the judges and all of those matters regarding their expertise, being obliged to attend the World PRE Championship Final.

**Article 8.** Members of the Technical Committee of Judges shall receive the compensation determined by the Executive Committee and reimbursement for travelling, accommodation and maintenance costs derived from the exercise of their function.

Article 9. The Technical Committee of Judges shall meet on a regular basis.

Agreements shall be reached by the majority of its members in writing included in the minutes of the meeting.

Should a conflict of interests arise from among the members of the Technical Committee, the affected member shall abstain from voting in the resolution adopted.

# TITLE II REGARDING JUDGES

**Article 10**. Conformation and/or Functionality Judges shall be considered judges, once they are authorized by ANCCE in accordance with the criteria established by the Executive Committee.

A judge that is authorized for both Conformation and Functionality shall only judge one type of event during a given season, except in the case of 1\* competitions that require one Conformation Judge for both events.

**Article 11.** A judge who has not exercised as such during previous seasons and seeks to return to judging shall comply with the following requirements:

- In such case that he/she did not judge during the previous season, he/she shall not be included in Group 1, except in exceptional cases as deemed appropriate by the Executive Committee.
- Should he/she not have judged during two or more consecutive seasons, he/she shall prove his/her updated knowledge regarding the applicable criteria established in the Judging Manual, Rules and Regulations, Breed Quality as required by the Technical Committee of Judges.

**Article 12.** Judges have the following rights:

- a) Be the maximum authority within the arena regarding scoring and classification.
- b) Their independence is to be respected when judging.
- c) The Organizing Committee shall provide judges with decent accommodations and meals.
- d) Receive the professional fees as previously agreed to with the Organizing Committee.

#### Article 13. Judges have the following obligations:

- a) Be fair and objective.
- b) Respect Breed Quality, the Conformation Competition Judging Manual, the Rules and Regulations for Conformation-Functional Competitions and these current Rules and Regulations for Judges when judging.
- c) Declare and state any and all conflicts of interest that may arise.
- d) Issue a report to the ANCCE Competition Department regarding the conditions, developments and incidents of the competition that they judge within seven (7) calendar days of the conclusion of said competition.
- e) Attend training courses and establish unified criteria at the request of ANCCE.
- f) Obey sentences issued by the Technical Committed of Judges and ANCCE governing bodies.
- g) Obey operational guidelines given by the Organizing Committee.
- h) Show suitable behavior in accordance to their function and responsibility both while in and outside the arena.
- i) Wear appropriate attire, including a blue jacket, beige trousers and a hat. Always wear the official ANCCE accreditation in a visible manner.
- j) Avoid any interaction with breeders and participants during the competition more than an occasional greeting whether in or out of the grounds where the competition is being held.
- k) Judge those competitions for which they have been appointed.
- l) Attend the accepted competition and judge all of the corresponding sections or tests.
- m) Be willing and available to judge at the PRE World Championship Final, as might be the case.
- n) Sign the official Competition Reports at the end of the competition.
- o) Remain on site until the end of the competition including one (1) hour after the competition has concluded in which the judge shall be accompanied by the Technical Director or Chief Steward to be available to participants. Exceptionally, in the case of Functionality Judges, this judge may be available for the participating breeders during one (1) hour once the functionality test has concluded in full.

Likewise, a list of intern judges will be provided. These judges shall be subject to the same conditions as any active judge.

## Article 14. Judges shall not do any of the following:

- a) Touch horses being presented.
- b) Neither receives nor provides any indication concerning the horses, their origins or results at previous competitions, or regarding their owners.
- c) Judges shall not present, ride, drive or hold the reins of any horse entered into the competition.
- d) Judge more than eighty (80) horses per day except at the World PRE Championship Final.
- e) Judges shall not assume any duties as organizer or commentator, nor any other functions related to the competition.

- f) Judges shall not enter the arena with notes of any kind. They shall be limited to bearing the elements provided by the Organizing Committee and, shall turn in said elements at the conclusion of the competition for these to be included in the Minutes.
- g) The use of cell phones and electronic devices other than those validated by ANCCE in the arena are strictly prohibited.
- h) Judge competitions not included in the Official PRE Competition Calendar without the authorization of the Competition Committee
- i) Judge sections or tests that are not covered by Rules & Regulations.

**Article 15.** In January of each year, judges seeking to exercise during that season shall deliver an affidavit to the Technical Committee of Judges stating their commitment and availability to judge throughout the year, mentioning the type of events they would like to judge, as might be the case.

A judge who is also a breeder, rider or presenter/groom shall not participate in said conditions at any of the competitions that may be held throughout the season in which he/she may exercise as judge.

In such case that a judge requests a leave of absence, said leave of absence shall be applicable for the rest of the season.

A judge who has been inactive for two or more seasons, should he/she wish to return, shall present his/her request to the ANCCE Competition department before the 1<sup>st</sup> of January of the year in which he/she intends to practice.

# TITLE III RESTRICTIONS AND CONFLICTS OF INTEREST

Article 16. All judges shall cease to exercise their functions as such once they are seventy (70) years of age.

**Article 17.** With regards to renowned cases of consultancy, management and marketing of horses for a specific breeder or cases of managing positions or effective control in a stud farm performed by a judge, said judge shall not perform as a judge for the following ten (10) seasons as of the conclusion of said relationship whether professional, work or commercial.

**Article 18.** A judge that has a work relationship or is a relative to the second degree, whether by blood or marriage with a member of the Technical Committee of Judges shall not be allowed to carry out the functions as judge while being part of said committee.

**Article 19.** No judge shall judge a competition section or test in which there is a horse that could be involved in a conflict of interests.

Specific examples of conflicts of interest along with the temporary extension are listed below:

- a) When a judge currently has, or has had in the past, some type of relationship with a horse, whether a breeder or owner. This conflict of interest is extensive throughout the life of the horse.
- b) Circumstances relative to breeding, ownership or as a broker, extensive to cases in which the active judge is the spouse or significant other, or relative of said judge to the second degree, whether by blood or marriage. The conflict of interest shall extend as long as the relationship exists.

- c) Circumstances relative to the rider or presenter of a horse, extensive to cases in which the active judge is the spouse or significant other, or relative of said judge to the second degree, whether by blood or marriage. The conflict of interest shall extend as long as the relationship exists.
- d) When a judge is a rider, presenter, groom or trainer of a given horse, or the presenter or rider of that horse, the conflict of interest shall extend for as long as the condition exists and for a period of three (3) years. This conflict of interest is not applicable to situations of mere transmission of knowledge to presenters, trainers and riders.
- e) Being involved directly or indirectly in the transfer of a horse at any period of its life. The conflict of interest with the horse shall extend for three (3) years as of the transfer date.
- f) Maintain or have maintained commercial ties or transactions as entrepreneur or employee of a breeder or, in the case of stud farms, as its legal representative, whether as a breeder or owner. This conflict of interest shall extend to all horses of said breeder for a period of three (3) years since the known date of conclusion.
- g) When a judge has used any type of assisted reproduction with horses from a given stud farm, the conflict of interest with all of the horses from that stud farm shall cover a three (3) year period.
- h) Any relationship with a breeder other than the ones previously explained, and that could affect the independence and objectivity of their judgement is unacceptable. Such conflict of interest is extensive to all horses of said breeder and shall remain for a period of six (6) months since the known date of conclusion.

**Article 20.** In January of each year, the Technical Committee of Judges shall request a sworn affidavit from all active judges stating any conflict of interests that may affect them. The truthfulness of said affidavit is the sole responsibility of the judge.

Conflicts of interest that may arise after said deadline shall be reported to the Technical Committee of Judges within a maximum of five (5) business days once the conflict of interests has arisen or is known.

Likewise, if a judge has any doubts regarding the interpretation of conflicts of interests or their extent, said judge shall inform the Technical Committee of Judges as quickly as possible, so that this body may decide about the importance of the situation.

Should the Technical Committee of Judges consider that a judge is affected by an undeclared conflict of interest, said Committee shall duly document the situation, notwithstanding any resulting disciplinary proceedings.

**Article 21.** The maximum number of conflicts of interests permitted for each shall be as follows:

- To judge throughout the current season, the judge shall have no more than eight (8) conflicts of interest with any participating stud farm and its horses.
- Said judge may not be included in Group 1 when he/she has five (5) or more conflicts of interest with any participating stud farm and its horses.

The maximum number of conflicts of interests allowed for each of the judges at each competition shall be two sections, except the exemptions as proposed annually for the World PRE Championship Final.

# TITLE IV SELECTION AND DESIGNATION SYSTEM

**Article 22.** At the beginning of each year, the Technical Committee of Judges shall draft a list of Judges for Conformation and Functionality, which shall then be sent to the Competition Commission and approved by the Executive Committee.

Likewise, a list of intern judges shall also be drafted. Intern judges shall be subject to the same constraints as all other active judges.

Said list shall also include judges who have been suspended and those with restricted functions.

**Article 23.** For the annual list, the Technical Committee of Judges shall propose the distribution of judges for both Conformation and Functionality as assigned to Group 1 and Group 2.

The distribution of judges in each Group shall follow the criteria established by the Executive Committee after consulting with the Competition Commission, based on such aspects as availability, professional career, conflicts of interest, training, experience and use of the Judging Manual, adaption to the values stated in the Code of Ethics and any other aspects that lead to a good judging, in addition to the independent and impartial image demanded by this task.

Prior to the commencement of the competition season, the Technical Committee of Judges may perform an assessment test regarding the judge's knowledge of the Judging Manual and the current Rules & Regulations.

The Executive Committee and the Technical Committee of Judges may review the group distribution of judges and proceed to incorporate modifications that have been technically agreed to.

**Article 24.** Judges in Group 1 shall be responsible for judging 3\*\*\* Competitions held in Spain, all competitions, whatever the category, held abroad and the World PRE Championship Final.

Judges in Group 2 shall be responsible for judging 2\*\* and 1\* Competitions held in Spain.

**Article 25.** The number of judges for each competition, based on the category of said competition and its venue are:

- a) In Spain:
  - Category 3\*\*\*: two (2) Conformation Judges and one (1) Functionality Judge.
  - Category 2\*\*: one (1) or two (2) Conformation Judges, decided by the Organizing Committee, with prior report to ANCCE (by default, it shall be one (1)) and one (1) Functionality Judge.
  - Category 1\*: one (1) Conformation Judge and one (1) Functionality Judge or one (1) Conformation Judge who shall judge both tests, to be decided by the Organizing Committee, with prior report to ANCCE (by default, it shall be one (1) Conformation Judge).
- b) Abroad:
  - Category 3\*\*\*: two (2) Conformation Judges and one (1) Functionality Judge.

- Category 2\*\*: one (1) Conformation Judge and one (1) Functionality Judge, or two (2) Conformation Judges, to be decided by the Organizing Committee, with prior report to ANCCE (by default, it shall be two (2) Conformation Judges).
- Category 1\*: one (1) Conformation Judge and one (1) Functionality Judge or one (1) Conformation Judge who shall judge both tests, to be decided by the Organizing Committee, with prior report to ANCCE (by default, it shall be one (1) Conformation Judge).

#### c) World Championship Final:

At least four (4) Conformation Judges and two (2) Functionality Judges.

Article 26. Judges shall be chosen for competitions by means of a draw that is open to the public and, held at the ANCCE offices. This draw is organized by the Competition Department under the supervision of the Competition Commission, except the judges—main and substitute—for the World PRE Championship Final.

A judge who intervenes at a competition as the only judge shall not judge during the following season. Those who judge at a competition outside Spain shall not judge other competitions in that same country throughout the season.

Once the draw has been held, all results shall be reported to the Technical Committee of Judges and published on the ANCCE web site.

After the draw and the election of judges for a given competition, the Organizing Committee shall not change their request for a given number of judges.

All judges elected by the draw shall send written acceptance to the Competition Commission, except due to justified cause, within three (3) calendar days as of the notification of said election.

Likewise, the list of substitute judges shall be drawn for the competitions of each group.

**Article 27.** The draw to select 1\* judges for competitions held in Spain and abroad shall be held each semester.

The draw to select the judges for 3\*\*\* and 2\*\* competitions held in Spain shall take place two (2) Tuesdays prior to the commencement of each event, once the Competition Department has analyzed any possible conflict of interest among the judges for that competition.

**Article 28.** Organizing Committees, after they have received all of the entries for the competition and verifying any conflicts of interest between the judges and participants, shall send written confirmation to the Competition Commission with the names of the judges chosen or shall request that appointment of substitute judges.

Should it be physically impossible, and justified to count on one (1) or several of the appointed judges, the Organizing Committee shall inform the Competition Commission in writing so that this Commission may assign the corresponding substitute judges.

Also, should a judge not accept an appointment, or should he/she suddenly be unable to attend the competition, the Competition Commission shall assign the corresponding substitute judge.

The Competition Commission shall inform the Technical Committee of Judges regarding any and all substitutions.

# TITLE IV REGARDING JUDGING

Article 29. Judges shall examine horses in keeping with Breed Quality, their conformation and movements at walk, trot and canter, following the criteria for Breed Quality in force at the time, and the mandates of the Competition Judging Manual. While performing their duties, the judge may be assisted by staff provided by the Organizing Committee. This person must never be the Chief Steward.

**Article 30.** When several judges intervene at a competition and when one of said judges faces a conflict of interests in a section due to a horse, breeder, presenter, groom or rider, including functionality, that section shall be judged by the other judges, without the presence of the judge affected by the conflict of interests. Should there be a substitute judge, then he/she shall enter to judge the complete section, including functionality.

Should there be a single judge and no other substitute judge, the horse affected by the conflict of interest shall be removed and considered entered at that competition and the entry fee paid shall be returned to the owner.

Should a horse involved in a conflict of interests qualify for any of the Special Awards, these same specifics shall be applied.

**Article 31.** Judges shall use the official Score Sheets when judging. Models of these are provided in Appendix I and II of these current Rules and Regulations. The Score Sheet shall be filled out using a ball-point or ink pen. Should the need arise to erase or cross out a score, all corrections must have the signature of the judge indicating said correction, or by means of an iPad or similar provided by ANCCE.

Each section on the Score Sheet corresponds to the various body regions or movements. It is followed by 21 squares from 0 to 10, including half points.

The judge shall place an "X" in the corresponding box that best indicates what he/she considers appropriate for each body region or movement.

#### Article 32. Score Sheets shall be filled out as indicated below:

- a) Competitions with a single judge or sections judged by a single judge: the judge shall fill out the Score Sheets for Conformation and Functionality.
- b) Competitions with two (2) or more Conformation Judges: each judge shall fill out his/her own Score Sheet individually; there shall be no conversation/consultation among the judges until they have concluding the judging of a section. The final score for each horse shall be the mathematical average of all scores granted.

To calculate the final score, both for Conformation and for Functionality, the average shall be rounded up using three decimal points.

All divergent scores among the judges must be analyzed by the Technical Committee of Judges, with the scores being settled based on the official images of the competition so that the scores carefully meet Breed Quality criteria and the Competition Judging Manual, with measures being adapted as deemed appropriate.

### TITLE VI DISCIPLINARY CODE

# CHAPTER I. DISCIPLINARY PROCEDURES

**Article 33**. The Technical Committee of Judges is the competent body to launch, instruct and sentence disciplinary procedures against judges.

The Instructor may be a member of the Technical Committee of Judges, or another person designated by the ANCCE General Director.

**Article 34.** Disciplinary procedures may be launched ex officio or at the request of a given party based on a report formulated before the Competition Commission and/or the Technical Committee of Judges.

Prior to launching disciplinary procedures, the Technical Committee of Judges shall proceed to analyze the events. Said Committee may dismiss the claim should it consider that the facts and/or events do not constitute wrongdoing as stated in the Rules & Regulations. This decision must then be reported to the claimant in which case an appeal may not be presented.

**Article 35.** The Technical Committee of Judges shall launch the disciplinary process by means of a disciplinary procedure initiation agreement that identifies the alleged perpetrator; an Instructor shall be appointed. The facts and/or events behind said initiation shall be clearly indicated together with the possible type of misconduct and the corresponding sentence. The competent body for the resolution of this disciplinary process shall be designated with the right to formulate allegations also being indicated, as well as the hearing before the competent body in the procedure with the corresponding timeline. The parties involved shall be informed that should allegations not be presented within the established timeline, the corresponding Proposed Resolution may then be issued. Likewise, the tentative measures agreed to by the Instructor shall be included.

The interested party shall be informed regarding the launching of the disciplinary process and the Instructor shall be informed about any and all actions related to the process.

Communications may use any means that allows the reception of communiqués to be verified. Failure to accept or the return of notifications sent to the home, data and/or address provided or that appears in ANCCE files shall be considered as notified/delivered.

**Article 36.** The Technical Committee of Judges may agree to launch disciplinary procedures that are duly motivated, establishing tentative measures that may be necessary to guarantee the effectiveness of the resolution that may be dictated, the smooth running of the procedure, avoid maintaining the effects of the misconduct while safeguarding the interests of third parties or ANCCE.

**Article 37.** The interested party may formulate allegations and present documents or information and, as may be the case, propose evidence within ten (10) business days as of the reception of the communiqué indicating the commencement of the process. The statement shall establish the address and/or email address for future notifications, as well as contact information of any proposed witnesses.

Once the Instructor receives allegations from the interested party, and as would be the case, said Instructor shall review the evidence deemed appropriate, and may also request further evidence and reports considered necessary to clarify all facts.

The Instructor may reject evidence when, in his/her opinion, said evidence fails to clarify the facts and/or events being studied, or when said evidence is difficult or impossible to prove.

The timespan to present evidence shall be fifteen (15) business days, notwithstanding that the Instructor, due to justified cause, may request that said period of time be expanded up to ten (10) additional days.

**Article 38.** Once the investigation has concluded, the Instructor shall formulate a Proposed Resolution, which shall establish and explain the facts and events upon which the legal status is based, the assessment of all evidence, and shall determine, based on the Rules and Regulations, the misconduct committed, the person who is responsible and the proposed sentence. Likewise, the decision to maintain the tentatively agreed to measures shall also be specified.

The interested party shall be notified regarding the Proposed Resolution, who in turn, shall have ten (10) business days, as of the date he/she receives the communiqué, to formulate allegations and present any documents or reports deemed appropriate.

**Article 39.** The Technical Committee of Judges shall dictate the Resolution in which said Committee shall respond to all questions presented and derived from the disciplinary file; the evidence upon which said Committee has based its decision shall be assessed, the facts and events stated, together with the person who is responsible, the misconduct committed and the sentence imposed.

No other facts or events, other than those presented throughout the course of the process, shall be accepted, independently of the legal assessment. Should the misconduct or the disciplinary action be considered more serious that what was determined in the Proposed Resolution, the interested party shall be notified so that he/she may present any and all allegations deemed necessary within fifteen (15) business days.

The Technical Committee of Judges shall inform the interested party regarding the Resolution, stating any appeal that he/she may be eligible to present against said Resolution and the corresponding timeline for presentation.

**Article 40.** The Resolution may be appealed before the ANCCE Executive Committee within fifteen (15) business days, as of the reception and knowledge of the sentence. Should no appeal be presented, the sentence shall be considered final.

The Executive Committee shall decide about the appeal within seven (7) business days, informing the interested party, which puts an end to the disciplinary route, with the Resolution then being executive, in which case shall be considered final as of its notification.

**Article 41.** The duration of the disciplinary process shall not surpass six (6) months as of the date on the initiation agreement.

# CHAPTER II MISCONDUCT AND DISCIPLINARY ACTIONS

**Article 42.** The following are considered Minor Misconduct:

- a) Failure to follow standards of courtesy and the appropriate attire to carry out functions as a judge.
- b) Access the arena with material other than that provided by the Organizing Committee or validated by ANCCE.
- c) Failure to report incidences that may arise within the course of the competition.
- d) Smoke or eat in the arena.

### Article 43. The following are considered Serious Misconduct:

- a) When it comes to judging, failure to respect the order established by the draw.
- b) Occasional attempt to coerce other judges to influence the score given to a horse.
- c) Unjustified failure to comply with the timetable established by the Organizing Committee to begin a section or functional test, when this is not understood as a serious disruption for the smooth running of the competition.
- d) Show contempt or a lack of respect of breeders, riders, handlers, presenters, members of the Organizing Committee or other judges, whether within the limits of the arena or not.
- e) Take the reins of or ride a horse that is going to be judged.
- f) Use a cell phone or electronic devices while judging, except that have been previously authorized.
- g) Interact with breeders or their direct relatives, presenters, handlers, riders, trainers or participating horse/rider pairs throughout the course of or immediately prior to the competition both within and outside the venue, other than cordial greetings.
- h) Failure to inform the Organizing Committee or the Competition Commission of notorious disrespect or behavior against the rules on behalf of breeders, presenters, handlers or riders while performing their duties as judge.
- Repeated and unjustified non-attendance to training workshops or the summons to refresher courses or behave in such a way that shows total lack of interest or being disorderly at any of the aforementioned sessions.
- j) Failure to respect instructions given by members of the Organizing Committee or the established Rules for the smooth running of the competition.
- k) Perpetrate an event of minor misconduct after having been punished previously for two (2) other events due to minor misconduct throughout the year.
- I) Inexcusable ignorance when complying with his/her obligations as stated in the Rules and Regulations, when this affects the image of ANCCE or the judges as a body.
- m) Failure to comply with the mandates of Breed Quality and the criteria established in the Judging Manual for Competitions, as established by the Technical Committee of Judges.

## **Article 44.** The following are considered Severe Misconduct:

- a) Judge a competition when said judge fails to have authorization from ANCCE or when he/she violated a confirmed disciplinary action.
- b) Judge competitions that are not included on the official ANCCE Calendar without the corresponding authorization from the Competition Commission.
- c) Continued coercion or intimidation toward other judges in an effort to impose his/her own will when judging horses.
- d) Failure to attend a competition after having accepted that competition as the judge, except in the case of justified cause and having informed the Competition Commission in advance.
- e) Failure to appear to judge a section or test, or be late to the extent that it seriously hinders the smooth running of the event, except in the case of force majeure, in which case the Organizing Committee must be informed as quickly as possible.

- f) Failure to attend meetings established by the Organizing Committee, or be late to the extent that is hinders the meeting, except in the case of force majeure, in which case the Organizing Committee must be informed as quickly as possible.
- g) Repeated breach in following Breed Quality Guidelines and the criteria established in the Competition Judging Manual due to malice or unacceptable negligence, as established by the Technical Committee of Judges.
- h) Failure to comply with the Rules and Regulations regarding conflict of interests and/or restrictions.
- i) Any type of aggression, insult, threat, coercion or slander towards breeders, riders, grooms, presenters, members of the Organizing Committee or other judges, both within or outside the limits of the arena.
- j) Arrive at the judging venue under the effects of alcohol or drugs, or appear in public under the effects of said substances throughout the duration of the competition.
- k) Failure to fill out the Score Sheet or act in a way that tends to hinder or alter the way judging is undertaken.
- Fail to comply with the resolutions and provisions as dictated by the Technical Committee of Judges when performing his/her functions as judge.
- m) Perpetrate an event of serious misconduct after having been punished previously for two (2) other events of serious misconduct.
- n) Inexcusable ignorance when complying with their obligations as stated in the Rules and Regulations, when this is detrimental to third parties and/or that goes against the Judges' Code of Ethics.
- Repeatedly interaction with breeders or their direct relatives, presenters, riders or trainers of horses or pairs participating in the competition or immediately prior to the commencement of the venue, whether within the limits of the arena or not.

**Article 45.** Minor Misconduct shall be punishable as a written warning, which may be issued with no further process than a meeting with the party, prior to a summary of the corresponding information.

**Article 46.** Serous Misconduct shall receive the following disciplinary actions:

- Written reprimand.
- Temporary suspension as a judge, ranging between six (6) months to one (1) year.

**Article 47**. In the case of Severe Misconduct, the following disciplinary actions shall be imposed:

- Temporary suspension as a judge, ranging between one (1) year and a day to five (5) years.
- Permanent dismissal as a judge.

**Article 48.** The statute of limitation for Minor Misconduct is six (6) months, for Serious Misconduct it is one (1) year and for Severe Misconduct it is eighteen (18) months.

The statute of limitation for all disciplinary offences begins as of the commitment of the offence. In such case that the misconduct is repeated, this shall be considered an aggravating circumstance.

The statute of limitation shall be interrupted as of the notification date on the agreement to launch disciplinary actions or, as may be the case, an informative summary of the investigation by the judge in charge of the case has been presented.

**Article 49.** Regarding the degree of the disciplinary actions applied, the mitigating circumstances—whether aggravating or attenuating—in the responsibility of the interested party and how, in the opinion of the Technical Committee of Judges, these influence the facts shall be taken into consideration.

### **Article 50.** The responsibility of the judge shall conclude:

- a) Once the punishment has been completed.
- b) Once the disciplinary action, whether in full or in part, has been lifted, based on an agreement between the Executive Committee and the Technical Committee of Judges, if and when there are sound reasons justifying such a move.

**Article 51.** The Technical Committee of Judges shall have a register of all disciplinary actions imposed to have records and a tally of all disciplinary actions fulfilled.

Written warnings or reprimands shall be considered cancelled after one (1) year, as of the date the sentence is final, except in those cases in which another disciplinary procedure is launched against the party with the corresponding disciplinary action. The list of remaining disciplinary actions shall be cancelled within three (3) months as of the date when the responsibility concluded or stopped.

**Article 52**. Prior to launching the disciplinary file, the fact that the judge being disciplined recognizes his/her mistake in writing shall be considered a mitigating circumstance.

# APPENDIX

# APPENDIX I SCORE SHEET - Conformation (Sections 3, 5, 7, 9 and 11)

TAG	NAME	SECTION	COMPETITION

											sc	CORI	ES											coefficient	TOTAL
	BODY REGIONS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10		ő	2
1	WALK	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	.000	
2	CANTER	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	000	
3	TROT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	000	
4	HEAD	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1.	000	
5	NECK	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,	700	
6	WITHERS, BACK & LOIN	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	200	
7	CROUP & TAIL	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	.000	
8	THORAX, BREAST & ABDOMEN	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,	000	
9	FORELIMBS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,	700	
10	HIND LIMBS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,	700	
11	LIMB ALIGNMENT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,	700	
12	GENERAL CONDITION & CONFORMATION-FUNCTIONAL HARMONY		Poor		St	ufficie	nt		Acce	ptable	e		Go	od		Ve	ery God	od		EXC	LLENT				
13	BREED QUALITY		Poor		St	ufficie	nt		Acce	ptable	9		Go	od		Ve	ery God	od		EXC	LLENT				

TOTAL for MOVEMENTS TOTAL for CONFORMATION	FINAL TOTAL
OBSERVATIONS:	

SIGNATURE:

# APPENDIX I SCORE SHEET - Conformation ( Sections 4 & 6)

TAG	NAME	SECTION	COMPETITION				

											sc	COR	ES										coefficient	TOTAL
	BODY REGIONS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	eo C	2
1	WALK	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
2	CANTER	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
3	TROT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
4	HEAD	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
5	NECK	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,700	
6	WITHERS, BACK & LOIN	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,200	
7	CROUP & TAIL	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
8	THORAX, BREAST & ABDOMEN	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,000	
9	FORELIMBS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,700	
10	HIND LIMBS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,700	
11	LIMB ALIGNMENT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,700	
12	GENERAL CONDITION & CONFORMATION-FUNCTIONAL HARMONY	P	oor		Suff	ficien	ıt	,	Accep	otable	e		Go	od		v	ery Go	od		EXC	ELLENT			
13	BREED QUALITY	Р	oor		Suff	ficien	ıt	,	Accep	otable	e		Go	od		v	ery Go	od		EXC	ELLENT			

TOTAL for MOVEMENTS TOTAL for CONFORMATION	FINAL TOTAL
OBSERVATIONS:	

# APPENDIX I SCORE SHEET - Conformation (Sections 8, 10 and 12)

TAG	NAME	SECTION	COMPETITION

		SCORES									
	BODY REGIONS	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	TOTAL								
1	WALK	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
2	CANTER	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
3	TROT	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
4	HEAD	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
5	NECK	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	0,700								
6	WITHERS, BACK & LOIN	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,200								
7	CROUP & TAIL	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
8	THORAX, BREAST & ABDOMEN	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	1,000								
9	FORELIMBS	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	0,700								
10	HIND LIMBS	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	0,700								
11	LIMB ALIGNMENT	0 0,5 1 1,5 2 2,5 3 3,5 4 4,5 5 5,5 6 6,5 7 7,5 8 8,5 9 9,5 10	0,700								
12	GENERAL CONDITION & CONFORMATION-FUNCTIONAL HARMONY	Poor         Sufficient         Acceptable         Good         Very Good         EXCELLENT									
13	BREED QUALITY	Poor         Sufficient         Acceptable         Good         Very Good         EXCELLENT									

TOTAL for MOVEMENTS TOTAL for CONFORMATION	FINAL TOTAL (75% CONFORMATION * MOVEMENT)
OBSERVATIONS:	

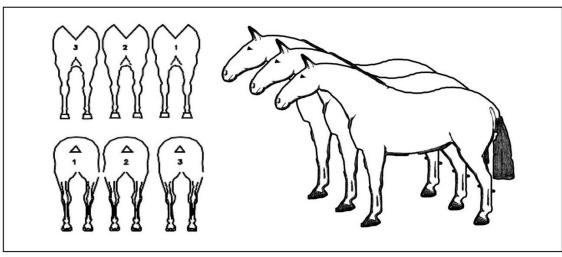
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# APPENDIX I SCORE SHEET - Conformation - Section 13

TAG	NAME	SECTION COMPETITION								

									,		sc	OR	ES										coefficient	TOTAL
	BODY REGIONS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	coeffi	₫
1	MOVEMENTS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
2	HANDLING	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
3	PRESENTATION	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,900	
4	COAT COLOR UNIFORMATY	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
5	QUALITY AND UNIFORMATIY OF HEIGHT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
6	QUALITY AND UNIFORMATY OF CONFORMATION	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,700	
7	QUALITY AND UNIFORMATIY OF BREED QUALITY	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,800	

FINAL TOTAL



OBSERVATIONS:		

JUDGE: \_\_\_\_\_\_ SIGNATURE:

# APPENDIX I SCORE SHEET - Conformation - Section 14

TAG	NAME	SECTION	COMPETITION

											sc	OR	ES										cient	IAL
	BODY REGIONS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	coefficient	TOTAL
1	MOVEMENTS	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
2	HANDLING	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
3	PRESENTATION	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	0,900	
4	COAT COLOR UNIFORMATY	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
5	QUALITY AND UNIFORMATIY OF HEIGHT	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,400	
6	QUALITY AND UNIFORMATY OF CONFORMATION	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,700	
7	QUALITY AND UNIFORMATIY OF BREED QUALITY	0	0,5	1	1,5	2	2,5	3	3,5	4	4,5	5	5,5	6	6,5	7	7,5	8	8,5	9	9,5	10	1,800	

FINAL TOTAL

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OBSERVACIONES:			

IUDGE: SIGNATURE

# **APPENDIX II** SCORE SHEET - Functionality 4-year-olds

BIE	NUMB	ER NAME		SECTION			COMPETITION	i
_		<u>.</u>	,				,	
1	AXC	Entry at working trot along the center line without salute.			16	FA	Working canter.	
2	с	Track right.			17	Betwe en A & K	Working trot.	
3	CM BFA	Working trot.			18	кхм	Change rein, showing lengthened strides.	
4	AXC	2-loop serpentine.			19	м	Sitting trot.	
5	нк	Show lengthened strides.			20	Betwe en M & C	Left working canter.	
6	Betwe en K & A	Medium walk.			21	нм	20m half-circle at working canter.	
7	ΑF	Medium walk.			22	мсн	Working canter.	
8	FXH	Free walk on long rein; Allow horse to stretch its neck.			23	нк	Lengthen strides.	
9	н	Re-take reins.			24	к	Working canter.	
10	Betwe en H & C	Working trot.			25	Betwe en K & A	Working trot.	
11	СВ	Working trot.			26	AF	Working trot.	
12	BE	20m half-circle at sitting trot			27	FK	20-m half-circle; Allow horse to stretch its neck.	
13	Before E	Working canter.			28	К	Re-take reins.	
14	ЕНСМ	Right working canter.			29	А	Turn to the center line.	
15	MF	Lengthen strides.			30	х	Halt - Immobility - Salute.	
		Lengthen strides.	15:10				- 10	

<sup>\*</sup> The test may be performed in rising trot, except Figures 12 and 19 which shall be performed at sitting trot.

											sc	ORE	s										coefficient	TOTAL
	BODY REGION	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	coef	2
1	WORKING TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,680	
2	LENGTHENED STRIDES IN TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,680	
3	MEDIUM WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
4	FREE WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
5	WORKING CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
6	LENGTHENED STRIDES IN CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
7	GENERALIMPRESSION		Poor	\$\\\	5	Sufficie	nt	Ac	ceptai	ble		Go	ood			Very	Good			EXC	ELLENT			
8	BREED QUALITY		Poor	•8	s	Sufficie	nt	Ac	cepta	ble		Go	ood			Very	Good			EXC	ELLENT			
OBSER	RVATICAS:																AL TO							

SIGNATURE:

#### APPENDIX II SCORE SHEET - Functionality 5 and 6-year-olds Enter in working trot. 7 BK Extended walk. Halt, immobility and salute. Proceed in working trot down the KA Medium walk. Working canter left. center line. Track right. Working trot. 9 AFB Working canter. СВ вх Half-circle right 10m. 10 BRSE Medium canter lengthening the strides and 20m half-circle between R and S. ХВ E Collected canter. KDE 10m half-circle, return to E. Half-circle left 10m. VKAF Collected trot. EH Counter canter 12 H Simple change. Change of rein. 3 FXH Show lengthened strides. EK Counter canter Working trot. 3-loop serpentine, close to the longest 13 wall. Show lengthened strides. 14 KAFP Working trot. MC Collected trot. 15 PV 20m half-circle allowing the horse to stretch its neck. 16 A Re-take the reins. Enter center line Halt - rein back to immediately move to medium walk. HB Medium walk. 17 X Halt, immobility and salute.

\* In this test, sitting trot is used, except figure 15, which shall be performed in rising trot.

\*Collected trot is not taken into consideration for the functionality test (figures 2 & 5), rein back (figure 6) and collected canter (figure 11). These figures are only taken into consideration for the Breeding Program.

												S	COR	ES										coefficient	TOTAL
	BODY REGIONS	0	0.5	1	1.5	;	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	Coef	2
1	WORKING TROT	0	0.5	1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,680	
2	EXTENDED TROT	0	0.5	1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,680	
3	MEDIUM WALK	0	0.5	-1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,660	
4	EXTENDED WALK	0	0.5	1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,660	
5	WORKING CANTER	0	0.5	1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,660	
6	EXTENDED CANTER	0	0.5	1	1.5	5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.	5 10	1,660	
7	GENERALIMPRESSION		Pod	or		Suffi	icient		A	ccepta	ble		G	ood			Very	Good (			EX	CELLER	NT		
8	BREED QUALITY		Poc	or		Suffi	icient	- 10	A	ccepta	ble		G	ood			Very	Good			EX	CELLER	чт		
OBSERVA	804																	AL TO							
L																									

SIGNATURE:

#### **APPENDIX II** SCORE SHEET - Functionality 7-years and older COMPETITION AX Enter in working trot.. Halt, immobile and X salute 8 HC Medium walk. 9 c Working canter right. xc Proceed in working trot along center line, track right. 10 MF Extended canter. FDB Half-circle, 10m diameter. Continue in canter to B. MRB Working trot. 20m circle in working trot.. 11 2 BR Counter canter. Working trot.. 12 BPFA Half-circle, 20m diameter in counter Simple change. 13 3 KM Trun across the diagonal in extended trot. Working canter. MCHS Working trot. EB 20m half-circle, with long reins, allowing the Turn across the diagonal in extended 14 FS horse to stretch its neck. Re-take reins, continue trot 15 SH Simple change between S and H. EVKA Continue around the arena to A in working $\begin{array}{c|c} \mathbf{CMH} & \mathbf{CMH} \\ \mathbf{C} & \mathbf{20m} \ diameter \ circle \ in \ working \ canter. \end{array}$ trot.. 17 AFP Medium walk. c Transition to working trot.

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											so	CORE	S										coefficient	TOTAL
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	coeff	9
1	WORKING TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,680	
2	EXTENDED TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,680	
3	MEDIUM WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
4	EXTENDED TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
5	WORKING CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,660	
4	EXTENDED CANTER 0 0.5 1 1.5 2 2.5 3 3.5 4 4.5 5 5.5															7	7.5	8	8.5	9	9.5	10	1,660	
7	GENERALIMPRESSION		Poor		5	Sufficie	ent	A	cceptat	ole		Go	ood			Very	Good			EXCE	LLENT			
8	BREED QUALITY		Poor		s	Sufficie	ent	A	cceptat	ole		Ge	ood			Very	Good			EXCE	LLENT			
OBSERVA	88040:																L TC							
JUI	DGE:													SIC	SNATU	RE:								

PH Extended walk.

Working trot.

Halt, immobility and salute. \* For this test, sitting trot is used, except in Figure 4, when rising trot may be used.

### **CODE OF ETHICS FOR CONFORMATION – FUNCTIONAL COMPETITION JUDGES**

#### I. Introduction:

Conformation-Functional Competitions organized throughout the world under the coverage of the National Purebred Spanish Horse Breeders' Association (ANCCE) comply with a variety of objectives. Among these are the selection, fostering and promotion of Purebred Spanish Horses.

The aforementioned competitions are governed by Rules & Regulations, with the participating horses being classified by age and sex, according to their conformation and movements guided in-hand by a groom. Horses also prove their functionality with specific tests under saddle.

The necessary classification and qualification is undertaken by ANCCE-appointed judges. These judges are obliged to adjust their criteria to the established breed quality standards, following the guidelines provided in the Judging Manual or Judging Guidelines.

A set of judges with unquestionable integrity is a basic institution to guarantee the adequate effectiveness of the standards for breed quality at competitions. The dedication of these judges, to adapt to each horse, shall always be accompanied by ethics that are well grounded in the task of judging, whatever the social environment where carried out. Values such as objectivity, independence, justice, transparency, competence or adequate training, due diligence and correctness in their statements constitute the foundation upon which the confidence of breeders, presenters and riders lies when participating in any of the aforementioned competitions. This confidence has to be visible even when loopholes are seen or when there is room for interpretations due to shortcomings or failures in the Rules and Regulations; obviously, not all situations arising from the extent of reality may be legislated.

The public image of judges together with their legitimacy or the usefulness of these Competitions is intertwined with the application of these principles and values.

These values are part of the traditions behind any "mutatis mutandi" (meaning that the necessary changes having been made) judicial function. Such aspects have been included in the "Bangalore Principles" drafted by the United Nations Economic and Social Council in its resolution 2006/23 dated July 27 and in the Report 2009/2010 by the Code of Judicial Conduct for the European Network of Judiciary Council Members. There are many other statements that have been made by an extensive number of organizations, all of which seek similar objectives.

Therefore, an opening statement is necessary; one that lists the basics that are inherent to all classification and qualification activities carried out by ANCCE Judges at future competitions.

#### Ii. Values:

# a) Independence:

This is the desirable state of any judge when judging. Judges shall have <u>freedom to judge, without</u> influences or pressure that could limit their ability to maintain an honest and impartial stance. Judges shall never be influenced by Organizing Committees or other bodies seeking to place their own agenda above the justice of scores.

Independence is neither a privilege nor a prerogative. It is an essential element for other principles.

The only acceptable ties are legal mandates or the Rules & Regulations, the mandates regarding breed quality and at most, all aspects regarding the above, the Judging Manual. This Manual is understood as a set of guidelines adapted and that strive to avoid undesirable dispersion and unfounded scores that could lead to unfair situations.

#### b) Objectivity:

Although independence differs from objectivity, both concepts are closely linked and related. Objectivity constitutes the cornerstone of all judging processes.

Impartiality must contain an element of fact and another translational or of reasonable perception.

In fact, this aspect arises from the judge's mental predisposition when before a horse or horse and rider, which leads him/her to grant scores that deserve the honorable appreciation of said judge, without prejudice to or predispositions at the personal level.

Perception takes place when third parties have, in general, a reasonable opinion that the judge's notes are biased, especially when they are decoupled from certain conditions that could objectively be seen as motivating preferences or tendencies in one or another direction. This second aspect is essential, as it could affect the credibility of the entire judging system, thus sparking a spirit of distrust.

An ample and extensive career in the sector provides time for encounters and knowledge among the stakeholders, but a judge shall always maintain a subtle balance, not only during the competition, but also before and after the event. Judges shall work to avoid situations or actions that in the eyes of a reasonable observer could be seen as a lack of fairness in their scores. Caution in expressing opinions must also be observed.

More than anything else, a "conflict of interests" refers to moral aspects, even more than any other external influence. These all arise when the apparent personal interests of a judge enter into conflict with the clear image that he/she dictates (the score) without any unfair preferences.

The Rules & Regulations strive to cover a given number of situations. Nevertheless, well beyond what has been established by these Rules, the bottom line is the principle of fairness as an objective fact and an expected perception.

"Any judge towards whom there are legitimate reasons to fear their lack of fairness must step down."

# c) Justice and transparency:

Justice is to "give to each person what (he/she) is due." (Ulpian.3rd Century AD)

These words could be understood as the continuity towards the specifics of independence and fairness.

In each and every case, a score has to be granted that the judge deems unbiased. That justice is to be based on the adjectives of the score scale which in turn, is linked to the definitions in the Rules & Regulations for PRE breed quality and the interpretations established in the Judging Manual.

Judges shall be oblivious to emotions arising from the behavior of breeders, presenters, riders, peers, etc., especially when these are not part of the competition. Judges shall overcome, with indifference, anything and everything taking place in the stands and outside the competition field. Judges transcend sympathies and phobias to attain a temporary status of their own nature.... after all is said and done, judges grant the score they believe correct, based on their good understanding, but resulting solely from the object being judged.

Nevertheless, justice, to be visible, must be transparent. Therefore, <u>judges may express</u>, to a certain <u>extent</u>, the <u>motivations behind their decisions</u>. When the case arises, and to the extent that the circumstances so allow, a judge should be able to explain how they reached a given decision, to even—within those limited cases where necessary (and that could take place due to a variety of circumstances)—accept the possibility that the score granted is lacking in quality or perfection.

### d) Expertise:

Judges, when expressing their opinion regarding the object being judges, must necessarily be competent in their field of expertise.

Judges shall have general knowledge about horses both as a species and as a breed. This knowledge includes breed history, conformation, functionality, elements of equitation, etc. For the adequate implementation of their responsibilities, it is especially important that judges have a complete understanding of PRE breed quality and the Judging Manual.

At all times, judges shall endeavor to have updated knowledge, clarify doubts and pay attention to any and all contents that improve the quality of their judging. To this end, judges become the custodians of continual training and outstanding opinion.

On the other hand, judges need to be in good physical and psychologically stable to be able to perform their tasks to the best of their abilities. Judges, at all times, shall avoid mental states that could give way to confusion, weariness or lack of diligence when performing their duties.

### e) Accuracy:

In all fields, judges are linked to the idea of prudence or cautious (from the Roman concept known as "prudentia"), polite in their contact with others, pondering their expressions, showing restraint or adapting their behavior.... In other words, intrinsic to the notion of "judge" is the idea of "respect" both in terms of attributions as well as in their expected behavior.

Judges' actions, the way they express their opinions, in their timeliness, how they treats breeders, presenters, riders, members of the OC, contracted staff and the general audience must never deviate from this interpretation.

#### f) Integrity:

Rather than an isolated value, this virtue saturates one's behavior with honesty. It is part of one's nature, with positive morality where judges rebuke rouge behavior, resist dishonest temptations, fail deceit, and outlaw falsehoods.

Integrity is not easy to retain, but it is fed by the standard moral principles of our community.

Society expects a judge to behave along these lines.

### III. Meaning:

The values indicated in this Code of Ethics should inspire the tasks and responsibilities of ANCCE Conformation-Functional Competition Judges. These same values must be the foundation that condemns those actions and omissions of individuals, which, being unfounded, have a negative impact on the task or image of the judges and/or the actual Association.

Also, this Code of Ethics plays a role when interpreting the meanings that integrate a sense of fair play or when creating the identity of reason behind the analogy, for the purpose of Articles 3 and 4 of Spain's Civil Code, regarding the criteria for the interpretation of legal standards governing the sector.